



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

MH92/0327

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APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/368,442	08/04/99	019	LESTER, E 2873	03/27/01
First Named Applicant	TENG, 35 USC 154(b) term ext. = 0 Davs.			

TITLE OF INVENTION METHOD AND APPARATUS FOR REDUCTION OF TRAPEZOIDAL DISTORTION AND IMPROVEMENT OF IMAGE SHARPNESS IN AN OPTICAL IMAGE CAPTURING SYSTEM

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
3	404302000400	359-726.000	E17 UTILITY	YES	\$620.00	06/27/01

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.

HOW TO RESPOND TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
- B. If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.

II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give application number and batch number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/368.442 08/04/99 TENG

H	404302000400
EXAMINER	

MM92/0327

E. THOMAS WHEELOCK
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PALO ALTO CA 94304

ART UNIT LESTER, E	PAPER NUMBER
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DATE MAILED:

03/27/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Notice of Allowability

Application No.

09/368,442

Examiner

Evelyn A. Lester

Applicant(s)

TENG ET AL.

Art Unit

2873

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to CPA request.
2. ☒ The allowed claim(s) is/are 1-19.
3. ☐ The drawings filed on _____ are acceptable as formal drawings.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
- * Certified copies not received: _____.
5. ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. **THIS THREE-MONTH PERIOD IS NOT EXTENDABLE FOR SUBMITTING NEW FORMAL DRAWINGS, OR A SUBSTITUTE OATH OR DECLARATION.** This three-month period for complying with the REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL is extendable under 37 CFR 1.136(a).

6. ☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
7. ☒ Applicant MUST submit NEW FORMAL DRAWINGS
 - (a) ☒ including changes required by the Notice of Draftsperson's Patent Drawing Review(PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No. 4.
 - (b) ☐ including changes required by the proposed drawing correction filed _____, which has been approved by the examiner.
 - (c) ☒ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No. _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

8. ☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any reply to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE / SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

Attachment(s)

- | | |
|---|--|
| <ol style="list-style-type: none">1 <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)3 <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)5 <input checked="" type="checkbox"/> Information Disclosure Statements (PTO-1449), Paper No. <u>6</u>.7 <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit of Biological Material | <ol style="list-style-type: none">2 <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)4 <input type="checkbox"/> Interview Summary (PTO-413), Paper No. _____.6 <input type="checkbox"/> Examiner's Amendment/Comment8 <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance9 <input type="checkbox"/> Other |
|---|--|

EVELYN A. LESTER

PATENT EXAMINER

GROUP 2870

Art Unit: 2873

Continued Prosecution Application

1. The request filed on 12-13-2000 for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 09/368,442 is acceptable and a CPA has been established. An action on the CPA follows.

Drawings

2. The corrected or substitute drawings (i.e. new Formal Drawings) were received on 1-2-01. These drawings are not approved. Please note Figure 5 has a misaligned surface 318. The informal drawings originally filed with the application, and used for examination purposes, are correct.

3. New formal drawings are required in this application because, as noted above, the formal drawing of Figure 5 is incorrect, and the claims of this application are considered to be in condition for allowance.

REASONS FOR ALLOWANCE

4. The following is an examiner's statement of reasons for allowance:

The prior art does not show or fairly suggest the claimed invention of a compact apparatus for forming a high contrast, low distortion image of a patterned object having the claimed

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structure and claimed limitations, wherein a rejection under 35 USC 102 or 103 would be improper. Please particularly note the reasons for allowance given in paper#4, page 3, paragraph 3. Therefore, the claimed invention is considered to be in condition for allowance as being novel and nonobvious over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Information Disclosure Statement

5. The Supplemental Information Disclosure filed on 12-13-2000 indicates two related U.S. patent applications: 09/191,428 and 09/364,499; as well as another application which issued as U.S. patent 6,154,285.

The issued application has been considered and is presently cited on the attached PTO-892.

With respect to the U.S. patent applications, the files of both applications were located and considered.

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Art Unit: 2873


The following are U.S. patents directed to optical sensing systems or image capturing systems of patterned objects, such as fingerprints:

U.S. PATENT NUMBER	INVENTOR'S NAME
5,796,858	Zhou et al
5,812,252	Bowker et al
5,875,025	Toyoda et al
6,154,285	Teng et al

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to E. A. Lester whose telephone number is (703) 308-4943. The examiner can normally be reached on Monday-Friday (except for first Fridays of a biweek) from about 8:30 am to 6 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Georgia Y. Epps, can be reached on (703) 308-4883. The fax number for Technology Center 2800 is (703) 308-7724.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.


Evelyn A. Lester
Patent Examiner
AU 2873
March 26, 2001